

**A Resolution authorizing the Mayor and Director of Finance to enter into a Project Agreement (No. CT633) with The Director of The Ohio Public Works Commission for Traffic Signal and Intersection Improvement (Brecksville Road and Streetsboro Road) and declaring an emergency.**

*BE IT RESOLVED* by the Council of the Village of Richfield, State of Ohio:

**SECTION 1:** That the Mayor and Director of Finance be, and they hereby are, authorized and directed to enter into a Project Grant Agreement (No. CT633) with the Director of the Ohio Public Works Commission for traffic signal replacement at Brecksville Road and Streetsboro Road, a copy of which Agreement is attached hereto as Exhibit "A" and incorporated herein fully as if by reference.


**SECTION 2:** This Resolution is hereby declared to be an emergency measure necessary for the immediate preservation of the public health, safety and welfare and for the further reason to provide effective traffic control and provided this Resolution receives the affirmative vote of two-thirds of the members of Council elected or appointed, it shall take effect and be in force from and after its approval by the Mayor; otherwise, it shall take effect and be in force from and after the earliest date provided by law.

*Passed: 4-6-93*

  
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**President of Council**  
**David W. Howard**

  
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**Mayor, Ralph R. Waszak, Sr.**

**ATTEST:**

  
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**Clerk of Council**  
**May N. (Peggy) Malone**

**Dated:** 4/6/93

OHIO PUBLIC WORKS COMMISSION

PROJECT GRANT AGREEMENT

OHIO SMALL GOVERNMENT CAPITAL IMPROVEMENTS PROGRAM

Pursuant to Ohio Revised Code 164.05 and Ohio Administrative Code 164-1-21, this Project Agreement is entered into this 8th day of March, 1993 by and between the State of Ohio, acting by and through the Administrator of the Ohio Small Government Capital Improvements Commission (hereinafter variously referred to as the "Administrator" (or the "OSGCIC"), located at 65 East State Street, Suite 312, Columbus, Ohio 43215, and The Village of Richfield, Summit County (hereinafter referred to as the "Recipient"), located at 4410 W. Streetsboro Road, P.O. Box 387 Richfield, OH 44286- in respect of the project named Traffic Signal and Intersection Improvement, and as described in Appendix A of this Agreement, (hereinafter referred to as the "Project") to provide an amount not to exceed Fifty Thousand Dollars (\$50,000) for the sole and express purpose of financing or reimbursing costs of the Project as more fully set forth in this Agreement and the Appendices attached hereto.

Subdivision Code : 153-66530

OPWC Project Control No. CT633

WHEREAS, on November 3, 1987, the electors of the State of Ohio adopted Section 2k of Article VIII of the Ohio Constitution which authorized the General Assembly of the State to provide by law for the issuance of bonds and other obligations for the purpose of financing or assisting in the financing of the cost of public infrastructure capital improvements of municipal corporations, counties, townships and other governmental entities as designated by law;

WHEREAS, to implement Section 2k of Article VIII, Ohio Constitution, the General Assembly passed Amended Substitute House Bill No. 704, as amended by House Bill No. 867 and House Bill No. 381, which enacted Sections 164.01 through 164.14 of the Ohio Revised Code (the "Revised Code");

WHEREAS, the State, acting by and through the Treasurer of the State (the "Issuer"), is authorized and empowered by Chapter 164 of the Revised Code to issue and sell obligations (the "Obligations") in an aggregate principal amount not to exceed One Billion Two Hundred Million Dollars (\$1,200,000,000), not more than One Hundred Twenty Million Dollars (\$120,000,000) in principal amount of Obligations to be issued in any calendar year for the purpose of financing the Costs of Capital Improvement Projects (as hereinafter defined);

WHEREAS, pursuant to Section 164.02 of the Revised Code, the General Assembly found that public infrastructure capital improvements were necessary to (i) preserve the public capital infrastructure of Local Subdivisions (as herein after defined); (ii) ensure the public health, safety and welfare; (iii) create and preserve jobs; (iv) enhance employment opportunities; and (v) improve the economic welfare of the people of this State;

WHEREAS, pursuant to an Order of the Issuer adopted on December 2, 1988, the Issuer authorized, issued and sold \$120,000,000 State of Ohio Full Faith and Credit General Obligation Infrastructure Improvement Bonds, Series 1988 (the "Series 1988 Bonds"), and pursuant to an Order of the Issuer adopted on December 6, 1989, the Issuer authorized, issued and sold \$119,999,935.75 State of Ohio Full Faith and Credit General Obligation Infrastructure Improvement Bonds, Series 1989 (the "Series 1989 Bonds")(Series 1988 Bonds, Series 1989 Bonds, and all future Bonds similarly authorized and sold, are collectively referred to as the "Infrastructure Bonds"), the net proceeds of which were or are to be deposited in the State Capital Improvements Fund (as hereinafter defined) for the purpose of paying the Costs of Capital Improvement Projects;

WHEREAS, to implement the policies set forth in Section 2k, Article VIII of the Ohio Constitution, and in Chapter 164 of the Revised Code, the General Assembly, pursuant to Revised Code Section 164.02, created the Ohio Public Works Commission and the Ohio Small Government Capital Improvements Commission(the "OSGCIC");

WHEREAS, pursuant to Section 164.051 of the Revised Code, the Administrator of the OSGCIC is empowered to (i) enter into agreements with villages or townships with populations in the unincorporated areas of the township of less than five thousand (5000) population to provide loans, grants, and local debt support and credit enhancements for Capital Improvement Projects; and (ii) authorize payments to such villages or townships or their Contractors (as hereinafter defined) for costs incurred for Capital Improvement Projects which have been approved by the OSGCIC;

WHEREAS, Sections 164.051 and 164.06 of the Revised Code permit a grant of funds, or other forms of financial assistance, for such a Capital Improvement Project to be expended or provided only after the Project has been approved by the OSGCIC;

WHEREAS, the Recipient desires to engage in the acquisition, construction, reconstruction, improvement, planning, or equipping of the Capital Improvement Project (the "Project") described in Appendix A of this Agreement;

WHEREAS, the Project described in Appendix A of this agreement has been duly approved by the Ohio Small Government Capital Improvements Commission; and

WHEREAS, the Administrator desires to approve the Recipient's request for financial assistance to finance or reimburse certain of the costs of the Project, such moneys being proceeds of the Infrastructure Bonds which are or are to be on deposit in the State Capital Improvements Fund;

NOW, THEREFORE, in consideration of the promises and covenants herein contained, the undersigned agree as follows:

**SECTION 1. Definitions and General Provisions.** The following words and terms as hereinafter used in this Agreement shall have the following meanings unless otherwise herein provided and unless the context or use clearly indicates another or different meaning or intent.

"Act" means Section 2k of Article VIII of the Ohio Constitution, Chapter 164 of the Revised Code, enacted and amended thereunder, together with Chapter 164-1 of the Ohio Administrative Code (the "Administrative Code").

"Bond Counsel" means an attorney or firm of attorneys of nationally recognized standing on the subject of municipal bonds satisfactory to the Administrator.

"Business Day" means a day of the year on which banks located in Columbus, Ohio and in New York, New York are not required or authorized by law to remain closed and on which The New York Stock Exchange is not closed.

"Capital Improvement" or "Capital Improvement Project" means the acquisition, construction, reconstruction, improvement, planning and equipping of roads and bridges, waste water treatment systems, water supply systems, solid waste disposal facilities, and storm water and sanitary collection, storage and treatment facilities of Local Subdivisions, including real property, interests in real property, and facilities and equipment of Local Subdivisions related or incidental thereto.

"Chief Executive Officer" means the Chief Executive Officer of the Recipient and as designated pursuant to Section 6 hereof or his authorized designee as per written notification to the Administrator.

"Chief Fiscal Officer" means the Chief Fiscal Officer of the Recipient and as designated pursuant to Section 6 hereof or his authorized designee as per written notification to the Administrator.

"Code" means the Internal Revenue Code of 1986, as amended. Each reference to a section of the Code herein shall be deemed to include the United States Treasury Regulations in effect, whether temporary or final, with respect thereto and applicable to the Infrastructure Bonds or the use of the proceeds thereof.

"Contractor" means a person who has a direct contractual relationship with the Recipient and is (i) the manufacturer of all or a portion of the Project, or (ii) the provider of labor, materials or services in connection with the acquisition, construction, reconstruction, expansion, improvement or engineering of the Project, or both.

"Cost of Capital Improvement Projects" means the costs of acquiring, constructing, reconstructing, expanding, improving and engineering Capital Improvement Projects, and, as applicable, related financing costs.

"Disbursement Ratio" means the OSGCIC's effective percentage share of unpaid Project costs as based upon the OSGCIC's participation in the Project's financing scheme relative to all other identified financial resources; which may be adjusted from time to time; and which is to be recognized as a tool of administrative convenience to the OSGCIC and the Recipient so as to allow for the reasonable sharing of Project cost coverage over the Project development period.

"District Committees" means the District Public Works Integrating Committees created pursuant to Section 164.04 of the Revised Code, the Executive Committees created pursuant to Section 164.04 of the Revised Code, and the Small Government Subcommittee created pursuant to Section 164.06 of the Revised Code.

"Governing Body" means the board of county commissioners or a county council if a county; the legislative authority if a municipal corporation; the board of township trustees if a township; the board of directors if a sanitary district; or the board of trustees if a regional water and sewer district.

"Local Subdivision" means any county, municipal corporation, township, sanitary district or regional water and sewer district of the State.

"Participation Percentages" means the percentage of the total actual project costs that will be contributed by the OSGCIC, not to exceed the maximum dollar contribution of the OSGCIC identified in this Project Agreement, and the percentage of the total actual project costs that will be contributed by the Recipient. Both of these percentages are identified in Appendix D, Page 1. In the event that the total actual project costs exceed the estimated project costs identified in Appendix D, the Local Subdivision Participation Percentage will increase to reflect the cost overrun, while the OPWC percentage contribution will decrease recognizing that there is a maximum dollar contribution from the OPWC which is identified in this Project Agreement.

"Private Business Use" means use (directly or indirectly) in a trade or business or activity carried on by any Private Person (other than a Tax-Exempt Organization) other than use as a member of, and on the same basis as, the general public.

"Private Person" means any person, firm, entity or individual who or which is other than a "governmental unit" as that term is used in Sections 141 and 148 of the Code.

"Project Manager" means the principal employee or agent of the Recipient having administrative authority over the Project and as designated pursuant to Section 6 hereof or his authorized designee as per written notification to the Administrator.

"Reimbursing" means the use of funds disbursed to the Recipient, as part of a loan or grant made to the Recipient pursuant to Revised Code Section 164.051, as reimbursement to the Recipient for costs integral to the completion of the Project that were incurred and paid by it and which did not in any way inflate costs of the Capital Improvement Project.

"State" means the State of Ohio.

"State Capital Improvements Fund" means the State Capital Improvements Fund created pursuant to Section 164.08 of the Revised Code.

"Tax-Exempt Organization" means a "governmental unit," as such term is used in Sections 141 and 148 of the Code.

Any reference herein to public boards, commissions, departments, institutions, agencies, bodies or entities shall include those succeeding to their functions, duties or responsibilities by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Constitution of the State or the Act, or to a section, provision or chapter of the Revised Code shall include such section, provision or chapter as from time to time may have been duly amended, modified, supplemented, or superseded. Words of the masculine or feminine gender shall be deemed and construed to include correlative words of the feminine, masculine and neuter genders. Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa. The terms "hereof," "hereby," "herein," "hereto," "hereunder" and similar terms refer to this Agreement and term "hereafter" means after, and the term "heretofore" means before the effective date of this Agreement.

**SECTION 2. Financial Assistance.** Subject to the terms and conditions contained herein, the Administrator hereby provides to the Recipient from the proceeds of the Infrastructure Bonds, which are or are to be on deposit in the State Capital Improvement Fund, financial assistance not to exceed the amount as set forth in Appendix C of this Agreement for the sole and express purpose of paying or reimbursing costs certified to the Administrator under this Agreement for the completion of the Project described in Appendix A of this Agreement.

**SECTION 3. Local Subdivision Contribution.** The Recipient shall, at a minimum, contribute to the Project (the "Local Subdivision Contribution") the Local Subdivision Participation Percentage as set forth in Appendix D, Page 1 of this Agreement. The Local Subdivision Contribution to the Project shall be for the sole and express purpose of paying or reimbursing the costs certified to the Administrator under this Agreement for the completion of the Project as defined and described in Appendix A of this Agreement.

SECTION 4. Notice to Proceed. Work shall not commence on this Project until the Administrator has issued a written Notice to Proceed to the Recipient. Such Notice will not be issued until the Administrator is assured that the Recipient has complied with the Recipient's responsibilities concerning the utilization of Certified Minority Business Enterprises and that their contractors and suppliers certify compliance with State Equal Employment Opportunity requirements. A Notice to Proceed shall be required for all project prime contractors or direct procurement initiated by the Recipient following execution of this Agreement.

SECTION 5. Project Schedule. Construction must begin within 30 days of the date set forth in Appendix A, Page 2 for the start of construction, or this Agreement may become null and void, at the sole option of the Administrator. However, the Recipient may apply to the Administrator in writing for an extension of the date to initiate construction. The Recipient shall specify the reasons for the delay in the start of construction and provide the Administrator with a new start of construction date. The Administrator will review such requests for extensions and may extend the start date, providing that the Project can be completed within a reasonable time frame.

SECTION 6. Disbursements. All payments made by the OSGCIC shall be made directly to the Contractor that performed the work and originated the invoice, unless the OSGCIC expressly authorizes Recipient to use the reimbursement method specified in Paragraph (A)(4)(b) of Rule 164-1-22 of the Administrative Code.

(a) Project Administration Designation. Pursuant to Paragraph (B) of Rule 164-1-21 of the Administrative Code, the Recipient shall designate its Chief Executive Officer, Chief Fiscal Officer and Project Manager as set forth in Appendix B of this Agreement.

(b) Disbursements to Contractors to Pay Costs of the Project. The Recipient shall require that as work on the Project and as specified in its contract is performed a Contractor shall promptly submit an invoice to the Project Manager, but no more frequently than once every thirty (30) days. Within three (3) Business Days following receipt of such invoice from a Contractor, the Project Manager shall review the invoice and, if found to be accurate, shall so certify in writing, forwarding said certification together with a copy of the invoice to the Chief Fiscal Officer. Within five (5) Business Days following receipt of such invoice and certification from the Project Manager, the Chief Fiscal Officer shall conduct such reviews as he considers appropriate and, if he approves such invoice, shall submit to the Administrator a Disbursement Request together with the information and certifications required by this Section 6(b). The dollar amount set forth in the Disbursement Request shall be calculated based on the Disbursement Ratio as set forth originally in Appendix D of this Agreement or as may be adjusted from time to time to account for changed conditions in the project financing scheme. Within five (5) Business Days following receipt of the Disbursement Request and all required information and certifications, the Administrator shall, if such items are deemed by the Administrator to be accurate and complete, initiate a voucher in accordance with applicable State requirements for the payment of the amount set forth in the Disbursement Request. Upon receipt of a warrant from the Auditor of State drawn in connection with a voucher initiated in accordance with the terms of the preceding sentence, the Administrator shall forward it, by regular, first class, United States mail or electronic funds transfer to the contractor or other authorized recipient designated in the Disbursement Request.

Prior to any disbursement from the State Capital Improvements Fund, the following documents shall be submitted to the Administrator by the Recipient:

(1) If the request is for disbursement to a Contractor, an invoice submitted to the Recipient by the Contractor which invoice requests payment of such sums in connection with its performance of the Project;

(2) If the request is for disbursement to the Recipient pursuant to Paragraph (A)(4)(b) of Rule 164-1-22 of the Administrative code, a bill of sale, paid invoice or other evidence satisfactory to the Administrator that payment of such sums have been made by the Recipient in connection with the portion of the Project for which payment is requested;

(3) The Project Manager's certification pursuant to this Section 6(b) of this Agreement;

(4) The Disbursement Request Form and Certification in the form set forth as Appendix E of this Agreement properly executed by the Chief Fiscal Officer and the Chief Executive Officer; and

(5) Such other certificates, documents and other information as the Administrator may reasonably require.

If the Administrator finds that the documents are in compliance with the requirements of this Agreement, the Administrator is authorized and directed to cause the disbursement of moneys from the Capital Improvements Fund for payment of the identified Project costs. A copy of all such documents submitted to the Administrator shall be retained by the Administrator.

In the event that any money is disbursed to the Recipient pursuant to this Section 6(b) of this Agreement to pay a portion of an invoice submitted by a Contractor, the Recipient shall expend such money to pay such Contractor for costs of the Project within twenty-four (24) hours after receipt thereof. Recipient shall hold such moneys uninvested pending payment to the Contractor.

(c) Disbursement Submittal Deadlines. The Recipient shall submit no more than one Disbursement Request per calendar month.

(d) Limitations on Use. No part of the moneys delivered to the Recipient pursuant to Section 2 hereof is being or will be used to refinance, retire, or redeem all or any part of any governmental obligations regardless of whether the interest on such obligations is or was excluded from gross income for federal income tax purposes unless prior approval by the Administrator is given.

(e) Project Scope. The physical scope of the Project shall be limited to only those capital improvements as described in Appendix A of this Agreement. In the event that circumstances require a change in such physical scope, such changes must be approved through the execution of a formal Amendment to this Agreement.

(f) Excess Moneys. In the event that the Recipient determines that it will no longer require all or any portion of the moneys provided pursuant to Section 2 hereof for authorized Project purposes, such as acceptable construction bids being received in dollar amounts significantly below project budget-related cost estimates, the Recipient shall mail, by first-class mail postage prepaid, a written notice to the Administrator. Such notice shall state (1) that the Recipient does not intend to use certain moneys made available to it pursuant to Section 2 hereof for authorized Project purposes and (2) the amount of such moneys no longer required. Upon receipt of such notice, the moneys specified therein shall no longer be available to pay costs relating to the Project.

(g) Project Cost Overruns. In the event that the Recipient determines that the moneys provided pursuant to Section 2 hereof, together with the Local Subdivision Contribution, are insufficient to pay in full the costs of the Project, Recipient may, with the approval of its District Public Works Integrating Committee, apply to the Administrator for supplemental assistance. The Administrator may approve or recommend such supplemental assistance only if the Recipient demonstrates to the Administrator's satisfaction that such funding is necessary for the completion of the Project and that the cost overrun was the result of circumstances beyond the Recipient's control, that it could not have been avoided with the exercise of due care, and that such circumstances could not have been anticipated at the time of the Recipient's initial application.

**SECTION 7. Retainage.** Except as provided in the second sentence of this Section 7, Recipient shall comply in all respects with the requirements of Sections 153.12, 153.13, 153.14, and 153.63 of the Revised Code, or other law applicable to it, including, but not limited to, the provisions thereof, to the extent applicable to Recipient, which require the holding of certain amounts from payments to be made to Contractors and the deposit of such amounts into an escrow account established pursuant to Section 153.63 of the Revised Code. Upon written notification to and approval of the Administrator, Recipient may use its legally applicable construction contract requirements for the project, including, but not limited to, its legally applicable requirements, if any, for the retaining of certain amounts from payments to be made to contractors in lieu of the requirements of Section 153.12, 153.13, 153.14, and 153.63 of the Revised Code. All such amounts deposited into the escrow account established pursuant to Section 153.63 of the Revised Code if applicable or as required by any other applicable law shall be paid by the Recipient from the Local Subdivision Contribution, or other local source of funds, and shall not be paid from the moneys provided to the Recipient pursuant to Section 2 hereof.

**SECTION 8. Conditions to Financial Assistance and its Disbursement.** The Administrator's obligations hereunder, including its obligation to make financial assistance available to the Recipient pursuant to the terms of this Agreement, are contingent upon compliance by the Recipient with the following conditions:

(a) Recipient's acquisition and commitment of the Local Subdivision Contribution necessary for the completion of the Project, its compliance with all other provisions of this Agreement, and its compliance with the provisions of Chapter 164 of the Revised Code and Chapter 164-1 of the Administrative Code. The Recipient shall set forth in Appendix D of this Agreement, a description of the manner or mechanisms of providing its local share of Project funds pursuant to division (D) of Section 164.05 of the Revised Code and Rule 164-1-21 (B)(6) of the Administrative Code.

(b) Recipient shall execute any and all other documents and certificates as deemed necessary by the Administrator, subject to the opinion of counsel to the Administrator, as well as any required by changes in State or Federal law, on the date hereof or at any time hereafter in connection with the financial assistance and disbursement of moneys pursuant to this Agreement, including any amendments to this Agreement.

**SECTION 9. Representations, Warranties and Covenants of Recipient.** The Recipient represents, warrants and covenants for the benefit of the Administrator as follows:

(a) Recipient is a Local Subdivision of the State with all the requisite power and authority to construct, or provide for the construction of, and operate the Project under the laws of the State and to carry on its activities as now conducted;

(b) Recipient has the power to enter into and perform its obligations under this Agreement and has been duly authorized to execute and deliver this Agreement;

(c) This Agreement is the legal, valid and binding obligation of the Recipient, subject to certain exceptions in the event of bankruptcy and the application of general principles of equity;

(d) Recipient has complied with all procedures, prerequisites, and obligations for Project application and approval under Chapter 164 of the Revised Code and Chapter 164-1 of the Administrative Code;

(e) Recipient is not the subject of nor has it initiated any claim or cause of action that would give rise to any liability which would in any way inhibit Recipient's ability to carry out its performance of this Agreement according to its terms;

(f) Use of Project.

(i) General. The Recipient shall not use the Project or suffer or permit the Project to be used for any Private Business Use. For purposes of the preceding sentence, use pursuant to a contract that satisfies the criteria of paragraphs (ii) or (iii) of this subsection shall not be regarded as a Private Business Use.

(ii) Management Contracts. The Recipient agrees that from the date hereof until the date on which none of the Infrastructure Bonds, of which the proceeds were used to pay or reimburse the costs of the Project, remain outstanding (the "Agreement Term"):

(1) The Recipient will not contract with any Private Person to manage the Project or any portion thereof unless all of the following conditions are met: (A) at least 50% of the compensation of the Private Person is based on a periodic, fixed fee that contains no incentive adjustments, and no amount of compensation is based on a share of net profits; (B) the compensation is reasonable in relation to the services performed; (C) the term of the contract does not exceed five (5) years (including any renewal option periods provided for in the contract); (D) if the term of the contract exceeds three (3) years, the Recipient is

able to cancel the contract without penalty or cause at the end of each three-year period of the contract; (E) any automatic increases in the periodic, fixed fee may not exceed the percentage increases determined by an external standard set forth in the contract for computing increases; and (F) any new contract with a Private Person which is subject to this subparagraph (f)(ii) will be subject to the requirements of (A) through (F) of this subparagraph (f)(ii)(1); and

(2) If the Recipient is subject to subparagraph (f)(ii)(1) above and it enters into contracts with Private Persons described in subparagraph (f)(ii)(1), and the Governing Body of the Recipient numbers five (5) or more members, no more than one (1) member of the Governing Body of the Recipient may be an employee or member of the Governing Body of the Private Person. If the Governing Body of the Recipient numbers less than five (5), no member of the Governing Body of the Recipient may be an employee or member of the Governing Body of the Private Person. Similarly, if the Governing Body of the Private Person numbers five (5) or more members, no more than one (1) of those members may be an employee or member of the Governing Body of the Recipient. However, in no event may a member or employee of both the Recipient and Private Person be the Chief Executive Officer or its equivalent of the Recipient or the Private Person. Members of the Governing Body of the Recipient may not own a controlling interest in the Private Person.

(iii) Contracts Concerning Use of Project. The Recipient agrees that during the Agreement Term it will not contract with any Private Person for use of the Project or any portion thereof or the facility or facilities of which the Project is a part for any Private Business Use unless all of the conditions of subparagraph (f)(iii)(1), subparagraph (f)(iii)(2) or subparagraph (f)(iii)(3) are met:

(1) If the compensation of the Private Person is based entirely on a periodic, fixed fee that contains no incentive adjustments, all of the following conditions must be met: (A) no amount of compensation is based on a share of net profits; (B) the compensation is reasonable; (C) the term of the contract does not exceed five (5) years (including any renewal option periods provided for in the contract); (D) if the term of the contract exceeds three (3) years, the Recipient is able to cancel the contract without penalty or cause at the end of each three-year period of the contract term; (E) any automatic increases in the periodic, fixed fee may not exceed the percentage increase as determined by an external standard set forth in the standard for computing increases; and (F) any new contract with the Private Person which is subject to subparagraph (f)(iii)(1) will be subject to the requirements of (A) through (F) of this subparagraph; or

(2) Where the compensation of the Private Person is based in whole or in part on a percentage of gross income or other measure, all of the following conditions must be met: (A) no amount of compensation is based on a share of the net profits; (B) the compensation is reasonable; (C) the term of the contract does not exceed two (2) years; (D) the Recipient is able to cancel the contract without penalty or cause by giving the Private Person 90 days notice; (E) any automatic increase in that portion of the compensation that is a periodic, fixed fee may not exceed the percentage increases determined by an external standard set forth in the contract for computing increases; and (F) any new contract with the Private Person which is subject to subparagraph (f)(iii)(2) will be subject to the requirements of (A) through (F) of this subparagraph; and

(3) If the Recipient is subject to the subparagraphs (f)(iii)(1) or (f)(iii)(2) and it enters into contracts with Private Persons described in those subparagraphs and the Governing Body of the Recipient numbers five (5) or more members, no more than one (1) member of the Governing Body of the Recipient may be the Private Person referred to in subparagraphs (f)(iii)(1) or (f)(iii)(2), a related person (as described in Section 144(a)(3) of the Code), an employee of such Private Person, or a member of the Governing Body of such Private Person, provided such member is not the Chief Executive Officer or its equivalent of the Recipient. If the Governing Body of the Recipient numbers less than five (5) members, no member may be such Private Person or an employee of such Private Person or a member of its Governing Body.

(iv) The Recipient may depart from any of its agreements contained in subparagraphs (f)(i) through (f)(iii) if it delivers to the Administrator, at the Recipient's expense, an opinion of Bond Counsel that to do so would not adversely affect the exclusion of interest on the Infrastructure Bonds from gross income for federal income tax purposes and such opinion is accepted by the Administrator.

(g) Use of Proceeds. With respect to the Project to be financed by moneys provided pursuant to Section 2 hereof:

(i) The total cost of the Project shall not and will not include any cost which does not constitute "Costs of Capital Improvements," as defined in the Act:

(ii) All of the Project is owned, or will be owned, by the Recipient or another Tax-Exempt organization;

(iii) The Recipient shall not use any of the moneys to pay or reimburse the Recipient for the payment of or to refinance costs incurred in connection with the acquisition, construction, improvement and equipping of property that is used or will be used for any Private Business Use; and

(iv) The Recipient may depart from any of its agreements contained in subparagraph (iii) if it delivers to the Administrator, at the Recipient's expense, an opinion of Bond Counsel that to do so would not adversely affect the exclusion of interest on the Infrastructure Bonds from gross income for federal income tax purposes and such opinion is accepted by the Administrator.

(h) General Tax Covenant. The Recipient shall not take any action or fail to take any action which would adversely affect the exclusion of interest on the Infrastructure Bonds from gross income for federal income tax purposes;

(i) Sufficiency of Moneys. The Recipient has sufficient moneys in addition to those provided to Recipient pursuant to Section 2 of this Agreement to fund the Project to completion;

(j) Ohio Products. The Recipient shall, to the extent practicable, use, and shall cause all of its Contractors and subcontractors to use, Ohio products, materials, services and labor in connection with the Project;

(k) Minority Business Enterprises. Subject to and in accordance with the provisions of Section 164.07(A) of the Revised Code and Rule 164-1-32 of the Administrative Code, Recipient shall participate in and subscribe to an Affirmative Plan of Action incorporating all State Issue 2 projects approved by the Ohio Small Government Capital Improvements Commission for the funding round in which the Project described herein is being financed. The approved Affirmative Plan of Action complies with the percentage requirements of Division (C)(1) of Section 123.151 and Section 125.081 of the Revised Code in awarding contracts for the Project. In the event that said Affirmative Plan of Action designates this Project to be "set-aside" for purposes of achieving participation of Certified Minority Business Enterprises in OSGCIC assisted projects, the Recipient shall solicit and accept bids for the performance of the work so set-aside exclusively from such Certified Minority Business Enterprises. Also, the Recipient shall require compliance by each of its Contractors with the requirements of Division (C)(2) of Section 123.151 of the Revised Code in their awarding subcontracts and purchasing services and materials under those contracts.

With respect to the Project, Recipient shall cooperate with the State Equal Employment Opportunity Coordinator, the Minority Business Development Division of the Ohio Department of Development and with any of their agents or designees in identifying, notifying, and assisting minority business enterprises certified under division (B) of Section 123.151 of the Revised Code. Any waiver, reduction, or other modification by the Recipient of the requirements of Section 164.07(A) shall be explained and documented in accordance with the requirements of Rule 164-1-32 of the Administrative Code through a written certification to the Administrator and the State Equal Employment Opportunity Coordinator;

(l) Equal Employment Opportunity. Recipient shall require that all contractors and subcontractors working on the Project comply with the equal employment opportunity requirements for the utilization of minorities and females pursuant to Chapter 123 of the Administrative Code, the Governor's Executive Order of 1972, and Governor's Executive Order 84-9;

(m) Prevailing Wage. Recipient shall comply, and shall require that all Contractors and subcontractors working on the Project comply, with the prevailing wage requirements contained in Sections 4115.03 to 4115.16 of the Revised Code; and

(n) Construction Bonds, Insurance and Supervision.

(i) The Recipient shall require that each of its construction contractors furnish a performance and payment bond in an amount at least equal to 100 percent of its contract price as security for the faithful performance of its contract.

(ii) The Recipient shall require that each of its construction contractors and each subcontractor maintain during the life of its contract or subcontract, Workers Compensation Insurance, Public Liability, Property Damage and Vehicle Liability Insurance.

(iii) The Recipient shall provide and maintain competent and adequate project management covering the supervision and inspection of the development and construction of the Project and bearing the responsibility of ensuring that construction conforms with the approved surveys, plans, profiles, cross sections and specifications and certifying to the OSGCIC and the Recipient at the completion of construction that construction is in accordance with the approved surveys, plans, profiles, cross sections and

specifications or approved amendments thereto.

SECTION 10. Progress Reports. The Recipient shall submit to the OSGCIC, at the OSGCIC's request, summary reports detailing the progress of the Project pursuant to this Agreement and any additional reports containing such information as the OSGCIC may from time to time reasonably require. The Recipient shall submit to the OSGCIC a final report on forms prescribed by the OSGCIC, detailing the results of the Project and the expenditure of funds made pursuant to this Agreement. The Recipient shall submit the final report to the OSGCIC no later than 90 days after completion of the Project.

SECTION 11. Audit Rights. The Recipient shall, at all reasonable times, provide the Administrator or his representative access to and a right to inspect all sites and facilities involved in the Project and access to and a right to examine or audit any and all books, documents and records, financial or otherwise, relating to the Project or to ensure compliance with the provisions of this Agreement. The Recipient shall maintain all such books, documents and records for a period of three (3) years after the termination of this Agreement, and such shall be kept in a common file to facilitate audits and inspections. All disbursements made pursuant to the terms of this Agreement shall be subject to all audit requirements applicable to State funds. The Recipient shall ensure that a copy of any final report of audit prepared in connection with the Project, regardless of whether the report was prepared during the pendency of the Project or following its completion, is provided to the Administrator within ten (10) days of the issuance of the report. The Recipient simultaneously shall provide the Administrator with its detailed responses to each and every negative or adverse finding pertaining to the Project and contained in the report. Such responses shall indicate what steps will be taken by the Recipient in remedying or otherwise satisfactorily resolving each problem identified by any such finding. If the Recipient fails to comply with the requirements of this Section or fails to institute steps designated to remedy or otherwise satisfactorily resolve problems identified by negative audit findings, the Administrator may bar the Recipient from receiving further financial assistance under Chapter 164 of the Revised Code until the Recipient so complies or until the Recipient satisfactorily resolves such findings.

SECTION 12. General Assembly Appropriation. The Recipient hereby acknowledges and agrees that the financial assistance provided under this Agreement is entirely subject to, and contingent upon, the availability of funds appropriated by the General Assembly for the purposes set forth in this Agreement and in Chapter 164 of the Revised Code. The Recipient further acknowledges and agrees that none of the duties and obligations imposed by this Agreement on the Administrator shall be binding until the Recipient has complied with all applicable provisions of Chapter 164 of the Revised Code and Chapter 164-1 of the Administrative Code and until the Recipient has acquired and committed all funds necessary for the full payment of the Local Subdivision Contribution applicable to the Project.

SECTION 13. Indemnification. Nothing in this Agreement shall be construed as conferring any legal rights, privileges, or immunities, or imposing any legal duties or obligations, on any person or persons other than the parties named in this Agreement, whether such rights, privileges, immunities, duties, or obligations be regarded as contractual, equitable, or beneficial in nature as to such other person or persons. Nothing in this Agreement shall be construed as creating any legal relations between the Administrator and any person performing services or supplying any equipment, materials, goods, or supplies for the Project sufficient to impose upon the Administrator any of the obligations specified in Section 126.30 of the Revised Code. The Recipient shall indemnify, defend, and hold the Administrator

harmless from liability in case of claim or suit arising from the conduct or management of, or any other acts incidental to the Project during the period of Project execution.

SECTION 14. Termination. The OSGCIC's obligations under this Agreement shall immediately terminate upon the failure of Recipient to comply with any of the terms or conditions contained herein. Upon such termination, Recipient shall be obligated to return any moneys delivered to Recipient pursuant to the provisions of this Agreement. In the absence of any such failure, this Agreement shall terminate and the obligations of the parties hereto shall be deemed to be satisfied on the date on which all of the Infrastructure Bonds, of which the proceeds were used to pay or reimburse the costs of the Projects, have been retired.

SECTION 15. Governing Law. This Agreement shall be interpreted and construed in accordance with the laws of the State. In the event any disputes related to this Agreement are to be resolved in a Court of Law, said Court shall be located in the State of Ohio.

SECTION 16. Severability. If any of the provisions of this Agreement or the application thereof to any person or circumstance shall for any reason or to any extent be held invalid or unenforceable, the remainder of this Agreement and the application of this provision to such other persons or circumstances shall not be affected thereby, but rather shall be enforced to the greatest extent permitted by law.

SECTION 17. Entire Agreement. This Agreement and its Appendices and Attachments attached hereto contain the entire understanding between the parties and supersedes any prior understandings, agreements, proposals and all other communications between the parties relating to the subject matter of this Agreement, whether such shall be oral or written.

SECTION 18. Captions. Captions contained in this Agreement are included only for convenience of reference and do not define, limit, explain or modify this Agreement or its interpretation, instruction or meanings and are in no way intended to be construed as part of this Agreement.

SECTION 19. Notices. Except as otherwise provided hereunder, any notices required hereunder shall be in writing and shall be deemed duly given when deposited in the mail, postage prepaid, return receipt requested, by the sending party to the other party at the addresses set forth below or at such other addresses as party may from time to time designate by written notice to the other party.

SECTION 20. No Waiver. If either party hereto at any time fails to require performance by the other of any provision of this Agreement, such failure shall in no way affect the right to require such performance at any time thereafter, nor shall the waiver by either party of a breach or default under any provision of this Agreement, be construed to be a waiver of any subsequent breach or default under that provision or any other provision of this Agreement.

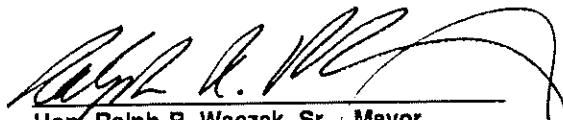
SECTION 21. Assignment. Neither this Agreement nor any rights, duties or obligations described herein shall be assigned by either party hereto without the prior written consent of the other party.

SECTION 21. Acceptance by Recipient. This Agreement must be signed by the Chief Executive Officer of the Recipient and returned to, and received by, the OSGCIC within forty-five (45) days of the date written on the first page of this Agreement. Failure of the Recipient to return a fully executed copy of this Agreement to the OSGCIC within the forty-five (45) day limit described herein will result in this Agreement being declared null and void, and the OSGCIC funds committed herein will be returned to the OSGCIC for reallocation. However, upon the Recipient presenting the Administrator with a written explanation of the need to extend this forty-five (45) day limit, the Administrator, in his sole discretion, may extend the forty-five (45) day limit.

SECTION 22. Assignment. Neither this Agreement nor any rights, duties or obligations described herein shall be assigned by either party hereto without the prior written consent of the other party.

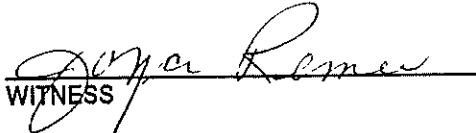
IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first written above.

RECIPIENT

  
\_\_\_\_\_  
Hon. Ralph R. Waszak, Sr., Mayor


Eleanor Lukovics, Director of Finance  
P. O. Box 387

Richfield, Ohio 44286  
City, State & Zip Code

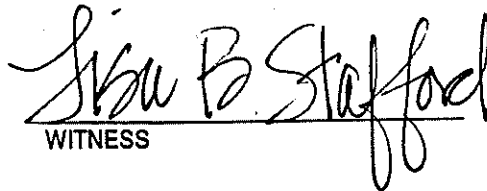
  
\_\_\_\_\_  
WITNESS

GRANTOR

STATE OF OHIO, OHIO PUBLIC WORKS COMMISSION

By:   
\_\_\_\_\_  
David L. Kern, Administrator  
OSGCIC

65 East State Street  
Suite 312  
Columbus, OH 43215

  
\_\_\_\_\_  
WITNESS

## APPENDIX A

### PROJECT DESCRIPTION AND COMPLETION SCHEDULE

1) PROJECT DESCRIPTION / PHYSICAL SCOPE:

The Project, for which the provision of financial assistance is the subject of this Agreement, is hereby defined and described as follows:

- a) **PROJECT NAME: Traffic Signal and Intersection Improvement**
- b) **SPECIFIC LOCATION: Brecksville Road (C.H. 17) and W. Streetsboro Road (S.R. 303). (ODOT Sec. 247). (Project Location Zip Code - 44286-)**
- c) **PROJECT TYPE; MAJOR COMPONENTS: Completion of design. Construction of project has been approved for Federal Aid Funds and ODOT will administer fund, bid and oversee construction.**
- d) **PHYSICAL DIMENSIONAL CHARACTERISTICS: Construction will include new signals, controller, lighting, lane widening and grade adjustment, drainage and curbing; all in accordance with ODOT design manual and uniform traffic control devices.**

PAGE 2 of APPENDIX A

2) PROJECT SCHEDULE:

The Project, for which the provision of financial assistance is the subject of this Agreement, shall be pursued and completed in accordance with the following schedule:

<u>ACTIVITY</u>	<u>START DATE</u>	<u>COMPLETION DATE</u>
a) Final Design	04/01/90	06/01/93
b) Construction Bidding Process	12/01/93	02/01/94
c) Project Construction	04/01/94	10/01/94

**NOTE:** Construction and Bidding must begin within 30 days of the date(s) set forth herein for the start of bidding and start of construction, or this Agreement may become null and void, at the sole option of the Administrator. However, the Recipient may apply to the Administrator in writing for an extension of the date to initiate construction. The Recipient shall specify the reasons for the delay in the start of construction and provide the Administrator with a new start of construction date. The Administrator will review such requests for extensions and may extend the start date, providing that the Project can be completed within a reasonable time frame.

The information detailed in this Appendix A shall serve as the basis for Project monitoring purposes and for determining Project acceptance upon its completion. In the event that circumstances require a change in physical scope, such changes must be approved through the execution of a formal Amendment to this Project Agreement.

## APPENDIX B

### PROJECT ADMINISTRATION DESIGNATION

The Project Administration Designation required by Section 6(a) of this Agreement, and in accordance with the definitions set forth in Section 1 of this Agreement, for the sole purpose of administering the Project, as defined and described in Appendix A of this Agreement, under Chapter 164 of the Revised Code and Chapter 164-1 of the Administrative Code is hereby established as follows:

The Recipient designates:

- 1.) Hon. Ralph R. Waszak, Sr., Mayor to act as the Chief Executive Officer;
- 2.) Eleanor Lukovics, Director of Finance to act as the Chief Fiscal Officer; and
- 3.) Larry Wilson, Service Director to act as the Project Manager.

**NOTE: Upon any change in such a designation, the Recipient shall immediately provide written notification to the OSGCIC.**

## APPENDIX C

### PROVISION OF FINANCIAL ASSISTANCE

As authorized by Section 2 of this Agreement for the sole and express purpose of financing the Project defined and described in Appendix A of this Agreement, the estimated costs of which are set forth and described in Appendix D of this Agreement, the OSGCIC hereby agrees to provide financial assistance, subject to the terms and conditions contained in this Agreement, from the State Capital Improvements Fund which constitutes the proceeds of the Infrastructure Bonds, in an amount not to exceed **Fifty Thousand Dollars (\$50,000)**. This financial assistance shall be provided in the form of a **Grant**.

**APPENDIX D**

**LOCAL SUBDIVISION CONTRIBUTION, PROJECT FINANCING AND  
EXPENSES SCHEME AND DISBURSEMENT RATIO**

1) OSGCIC/LOCAL SUBDIVISION PARTICIPATION PERCENTAGES: For the sole and express purpose of financing/reimbursing costs of the Project defined and described in Appendix A of this Agreement, the estimated costs of which are set forth and described in this Appendix D, the Recipient hereby designates its Local Subdivision Percentage Contribution as amounting to a minimum total value of 37% percent of the total Project Cost. The OSGCIC participation percentage shall be 63% percent. However, in the event of a cost over-run, the maximum OSGCIC dollar contribution shall not exceed the amount identified in Appendix C.

2) PROJECT FINANCING AND EXPENSES SCHEME: The Recipient further designates the Project's estimated financial resources and estimated costs certified to the OSGCIC under this Agreement for the Project as defined and described in Appendix A of this Agreement to consist of the following components:

a) PROJECT FINANCIAL RESOURCES:

i) Local In-kind Contributions	<b>\$29,000</b>
ii) Local Public Revenues	<b>0</b>
iii) Local Private Revenues	<b>0</b>
iv) Other Public Revenues:	
- ODOT	<b>0</b>
- FmHA	<b>0</b>
- OEPA	<b>0</b>
- OWDA	<b>0</b>
- CDBG	<b>0</b>
- Other _____	<b>0</b>

**SUBTOTAL 29,000**

v) OSGCIC Funds:

- Grant	<b>50,000</b>
- Loan	<b>0</b>
- Loan Assistance	<b>0</b>

**SUBTOTAL 50,000**

**TOTAL FINANCIAL RESOURCES \$79,000**

b) PROJECT ESTIMATED COSTS:

i) Project Engineering Costs:	
- Preliminary Engineering	<b>\$29,000</b>
- Final Design	<b>50,000</b>
- Construction Supervision	<b>0</b>
ii) Acquisition Expenses:	
- Land	<b>0</b>
- Right-of-Way	<b>0</b>
iii) Construction Costs	<b>0</b>
iv) Equipment Costs	<b>0</b>
v) Other Direct Expenses	<b>0</b>
vi) Contingencies	<b>0</b>

**TOTAL ESTIMATED COSTS \$79,000**

c) **PREPAID ITEMS:** Those Project cost items included in Section 2(b) of this Appendix D that have already been paid for are identified as follows together with the financial resources category from Section 2(a) of this Appendix D from which they were paid:

	<u>COST ITEM</u>	<u>RESOURCE CATEGORY</u>	<u>COSTS</u>
i)	Engineering	Local Public Revenues	\$27,548
ii)			0
iii)			0
	TOTAL PREPAID ITEMS COSTS		\$27,548

d) **DISBURSEMENT RATIO:** As established in Section 6(b) of this Agreement, the Recipient shall compute the dollar amounts set forth in its Disbursement Requests, and the OSGCIC shall disburse financial assistance moneys provided under Section 2 and Appendix C of this Agreement, based upon the following Disbursement Ratio:

$$\text{DISBURSEMENT RATIO} = \frac{A}{B - C}$$

**WHERE:**

(A) = Financial Assistance Moneys as set forth originally at Section 1 of Appendix C;

(B) = Total Project Estimated Costs as set forth originally at Section 2(b) of Appendix D;

(C) = Total Prepaid Items Costs as set forth originally at Section 2(c) of this Appendix D;

$$\frac{50,000}{79,000 - 27,548}$$

**Disbursement Ratio = 97 %**

NOTE: The Disbursement Ratio is to be recognized as a tool of administrative convenience to the OSGCIC and the Recipient so as to allow for the reasonable sharing of Project cost coverage over the Project development period, and, as such, may change from time to time.

**OHIO PUBLIC WORKS COMMISSION  
APPENDIX E - DISBURSEMENT REQUEST FORM AND CERTIFICATION**

DISBURSEMENT REQUEST NUMBER: \_\_\_\_\_

STATEMENT REQUESTING THE DISBURSEMENT OF FUNDS FROM THE STATE CAPITAL IMPROVEMENTS FUND PURSUANT TO SECTION 6 OF THE PROJECT AGREEMENT (the "Agreement") EXECUTED BETWEEN THE ADMINISTRATOR OF THE OSGCIC (the "Administrator") AND The Village of Richfield, (153-66530), Summit County (the "Recipient"), DATED March 8th, 1993, FOR THE SOLE AND EXPRESS PURPOSE OF FINANCING THE CAPITAL IMPROVEMENT PROJECT DEFINED AND DESCRIBED IN APPENDIX A OF THE AGREEMENT (the "Project") AND NAMED AND NUMBERED AS Traffic Signal and Intersection Improvement, CT633.

<b>EXPENDITURES PROGRESS:</b>	(1) <u>AS PER AGREEMENT</u>	(2) <u>PAID PRIOR TO THIS DRAW</u>	(3) <u>AS PART OF THIS DRAW</u>	(4) <u>PAID TO DATE (Column 2 + 3)</u>
A) Project Engineering Costs				
1) Preliminary Engineering	\$29,000	\$ _____	\$ _____	\$ _____
2) Final Design	50,000	\$ _____	\$ _____	\$ _____
3) Construction Supervision	0	\$ _____	\$ _____	\$ _____
B) Acquisition Expenses				
1) Land	0	\$ _____	\$ _____	\$ _____
2) Right-of-Way	0	\$ _____	\$ _____	\$ _____
C) Construction Costs	0	\$ _____	\$ _____	\$ _____
D) Equipment Costs	0	\$ _____	\$ _____	\$ _____
E) Other Direct Expenses	0	\$ _____	\$ _____	\$ _____
F) Contingencies	0	\$ N/A	\$ N/A	\$ N/A
<b>G) Totals</b>	<b>\$79,000</b>	\$ _____	\$ _____	\$ _____

<b>FINANCING PROGRESS:</b>	(1) <u>AS PER AGREEMENT</u>	(2) <u>USED PRIOR TO THIS DRAW</u>	(3) <u>AS PART OF THIS DRAW</u>	(4) <u>USED TO DATE (Column 2 + 3)</u>
H) OSGCIC Funds	\$50,000	\$ _____	\$ _____	\$ _____
I) Local Share				
1) In-kind Contributions	29,000	\$ _____	\$ _____	\$ _____
2) Public Revenues	0	\$ _____	\$ _____	\$ _____
3) Private Revenues	0	\$ _____	\$ _____	\$ _____
K) Other Public Revenues				
1) ODOT	0	\$ _____	\$ _____	\$ _____
2) FmHA	0	\$ _____	\$ _____	\$ _____
3) OEPA	0	\$ _____	\$ _____	\$ _____
4) OWDA	0	\$ _____	\$ _____	\$ _____
5) CDBG	0	\$ _____	\$ _____	\$ _____
6) Other _____	0	\$ _____	\$ _____	\$ _____
<b>L) Total Local and Other Public Revenues</b>	<b>\$29,000</b>	\$ _____	\$ _____	\$ _____
<b>M) Totals ( H+L for each column )</b>	<b>\$79,000</b>	\$ _____	\$ _____	\$ _____

[NOTE: Column totals for Line M must be equal to the column totals for Line G.]

**OSGCIC FUNDS DISBURSEMENT PROGRESS:**

Is this the final request for disbursement of OSGCIC funds? .....        YES        NO  
 If the answer is YES, skip to the section entitled "FINAL DISBURSEMENT REQUEST and PROJECT COMPLETION REPORT".

N) Total project costs claimed as part of this draw (Total in G(3)) ..... \$ \_\_\_\_\_  
 O) Disbursement Ratio ..... % \_\_\_\_\_  
 P) Amount of OSGCIC funds hereby requested for Disbursement (N x O) (Becomes H(3)) ..... \$ \_\_\_\_\_

<b>FINAL DISBURSEMENT REQUEST and PROJECT COMPLETION REPORT:</b>		Project Completion Date _____ / _____ / _____
By completing this section the subdivision certifies that the project is completed and no additional invoices will be submitted to the OSGCIC.		
Q) Total project costs (G(2) +G(3))	.....	\$ _____
R) Subdivision Percentage Participation Level	.....	37%
S) Subdivision minimum dollar contribution (QxR)	.....	\$ _____
T) Subdivision costs paid to date (Total in L(2))	.....	\$ _____
U) Total still owed toward Local Share (S-T)	.....	\$ _____
V) Total project costs claimed as part of this draw(Total in G (3))	.....	\$ _____
W) Total still owed toward Local Share (U)	.....	\$ _____
X) Amount of OSGCIC funds hereby requested for Disbursement (V-W) (Becomes H(3))	.....	\$ _____
Construction funds currently held in escrow by the subdivision and not reported on the previous page		\$ _____
[NOTE: Total in H(4) (sum of H(2) + H(3)) may not exceed total in H(1) (refer to instructions).]		

**PROJECT MANAGER CERTIFICATION:**

I hereby certify that the work items invoiced and included herein are exclusively associated with the Project, have been completed in a satisfactory manner, and are otherwise in accord with the terms and conditions of the Agreement. This request reflects project completion at an estimated \_\_\_\_\_%.

\_\_\_\_\_  
 Larry Wilson, Service Director

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_      Date

( ) \_\_\_\_\_      Phone

**CHIEF EXECUTIVE OFFICER AND CHIEF FINANCIAL OFFICER CERTIFICATION:**

Pursuant to Section 6(b) and 6(c) of the Agreement, the undersigned Chief Executive Officer and Chief Fiscal Officer of the Recipient, as both are designated in Appendix B of the Agreement, hereby request the Director to disburse financial assistance moneys made available to Project in Appendix C of the Agreement (inclusive of any amendment thereto) to the payee as identified below in the amount so indicated which amount equals the product of the Disbursement Ratio and the dollar value of the attached cost documentation which was properly billed to the Recipient in exclusive connection with the performance of the Project, or, in the case of a final disbursement request, the amount entered at Line V of this Appendix E. The undersigned further certify that:

- 1) Each item of project cost documentation attached hereto is properly payable out of the State Capital Improvements Fund in accordance with the terms and conditions of the Agreement, and none of the items for which payment is requested has formed the basis of any payment heretofore made from the State Capital Improvements Fund;
- 2) Each item for which payment is requested hereunder is or was necessary in connection with the performance of the project;
- 3) In the event that any of the money disbursed to the Recipient pursuant to this request is to be used to pay Project costs based on an invoice submitted by a contractor of which the Recipient's share is yet to be paid, the Recipient shall expend such money to pay such contractor for the Project costs within twenty-four (24) hours after receipt thereof. Recipient shall hold such money uninvested pending payment to the contractor;
- 4) This statement and attachments hereto shall be conclusive as evidence of the facts and statements set forth herein and shall constitute full warrant, protection, and authority to the Director for any actions taken pursuant hereto; and
- 5) This document evidences the approval of the undersigned Chief Executive Officer and Chief Fiscal Officer of each payment hereby requested and authorized.

IN WITNESS WHEREOF, the undersigned have executed this Disbursement Request Form and Certification as of this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_.

Note: All signatures must be original and in Ink.

\_\_\_\_\_  
 Eleanor Lukovics, Director of Finance      Phone: ( ) \_\_\_\_\_      Hon. Ralph R. Waszak, Sr., Mayor

**CONTRACTOR/VENDOR PAYEE IDENTIFICATION:**

Set forth the appropriate portion(s) of this Disbursement Request amount (all or part of the amount from H(3)) that is to be paid to each of the contractors/vendors (or Subdivision) identified below, and as are supported through accompanying copies of invoices or other evidence of expense.

1) AMOUNT TO BE PAID CONTRACTOR/VENDOR BY OSGCIC ..... \$ \_\_\_\_\_

PAYEE: \_\_\_\_\_  
Address: \_\_\_\_\_

Phone: (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
Federal Tax ID #: \_\_\_\_\_

2) AMOUNT TO BE PAID CONTRACTOR/VENDOR BY OSGCIC ..... \$ \_\_\_\_\_

PAYEE: \_\_\_\_\_  
Address: \_\_\_\_\_

Phone: (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
Federal Tax ID #: \_\_\_\_\_

3) AMOUNT TO BE PAID CONTRACTOR/VENDOR BY OSGCIC ..... \$ \_\_\_\_\_

PAYEE: \_\_\_\_\_  
Address: \_\_\_\_\_

Phone: (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
Federal Tax ID #: \_\_\_\_\_

4) AMOUNT TO BE PAID CONTRACTOR/VENDOR BY OSGCIC ..... \$ \_\_\_\_\_

PAYEE: \_\_\_\_\_  
Address: \_\_\_\_\_

Phone: (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
Federal Tax ID #: \_\_\_\_\_

5) AMOUNT TO BE PAID CONTRACTOR/VENDOR BY OSGCIC ..... \$ \_\_\_\_\_

PAYEE: \_\_\_\_\_  
Address: \_\_\_\_\_

Phone: (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
Federal Tax ID #: \_\_\_\_\_

OPWC Use Only	Accounting: _____ (initial)	MBE: _____ (initial)
Approval by: _____ (signature)	Auditor: _____ (initial)	Other: _____ (initial)
Date: ____/____/____		